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JAN 4-30-04

BDAC

PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/356,575
Filing Date	July 19, 1999
First Named Inventor	Fallaux et al.
Group Art Unit	1633
Examiner Name	M. Wilson
Attorney Docket Number	2578-3935US

ENCLOSURES (check all that apply)

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal)
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16
- ☐ Preliminary Amendment
- ☐ Response to Restriction Requirement/Election of Species Requirement dated
- ☐ Amendment in response to office action dated
- ☐ Amendment under 37 C.F.R. § 1.116 in response to final office action dated
- ☐ Additional claims fee - Check No. in the amount of \$
- ☐ Letter to Chief Draftsman and copy of FIGS. with changes made in red
- ☐ Transmittal of Formal Drawings
- ☐ Formal Drawings (sheets)

- ☐ Information Disclosure Statement, PTO/SB/08A; ☐ copy of cited references
- ☐ Supplemental Information Disclosure Statement; PTO/SB/08A; copy of cited references and Check No. in the amount of \$180.00
- ☐ Associate Power of Attorney
- ☐ Petition for Extension of Time and Check No. in the amount of \$
- ☐ Petition
- ☒ Fee Transmittal Form
- ☐ Certified Copy of Priority Document(s)
- ☐ Assignment Papers (for an Application)

- ☐ Terminal Disclaimer
- ☐ Terminal Disclaimer
- ☐ Terminal Disclaimer

- ☒ Other Enclosure(s) (please identify below):

Petition for Issuance of a Certificate of Correction or Renewed Petition for Correction of Inventorship in Patent Pursuant to 35 U.S.C. 256 (37 C.F.R. § 1.324) with Exhibits A-C

Remarks

The Commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

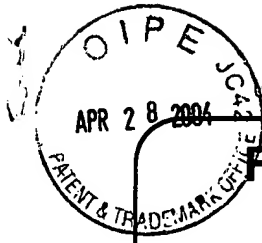
Firm or Individual name	Bretton L. Crockett	Registration No. 44,632
Signature		
Date	April 28, 2004	

CERTIFICATE OF MAILING

Express Mail Label Number: EV326920335US

Date of Deposit: April 28, 2004

Person Making Deposit: Christopher Haughton



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130

Complete if Known

Application Number	09/356,575
Filing Date	July 19, 1999
First Named Inventor	Fallaux et al.
Examiner Name	M. Wilson
Art Unit	1633
Attorney Docket No.	2578-3935US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit Account Number 20-1469

Deposit Account Name TraskBritt

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims		Fee from below		Fee Paid
Total Claims	<input type="text"/>	** =	<input type="text" value="0"/>	X	<input type="text"/>	=	<input type="text" value="0"/>
Independent Claims	<input type="text"/>	** =	<input type="text" value="0"/>	X	<input type="text"/>	=	<input type="text" value="0"/>
Multiple Dependent				X	<input type="text"/>	=	<input type="text" value="0"/>

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES


Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Bretton L. Crockett	Registration No. (Attorney/Agent)	44,632	Telephone	801-532-1922
Signature				Date	April 28, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Fallaux et al.

Patent No.: 6,265,212 B1

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR
HUMAN RECOMBINANT ADENOVIRUS
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV326920335US

Date of Deposit with USPS: April 28, 2004

Person making Deposit: Christopher Haughton

**PETITION FOR ISSUANCE OF A CERTIFICATE OF CORRECTION OR
RENEWED PETITION FOR CORRECTION OF INVENTORSHIP IN
PATENT PURSUANT TO 35 U.S.C. 256 (37 C.F.R. § 1.324)**

Commissioner for Patents
Certificates of Corrections Branch
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Both the Inventors and the Assignee hereby petition for the issuance of a certificate of correction, or, in the alternative, renew their petition submitted July 8, 2003 to correct the inventorship of U.S. Patent 6,265,212 B1.

A copy of the petition submitted July 8, 2003, including the supporting documents is attached hereto as Exhibit A. This petition requested the patent be corrected to delete the name of Govert Schouten as an inventor because he is not an inventor of the invention herein. The requirements of 35 U.S.C. § 256 and 37 C.F.R. § 1.324 were met by the petition as follows:

1. A statement that inventorship error occurred without deceptive intent was provided, including an attached Statement of Govert Schouten (provided herewith in Exhibit A).

2. The required agreement of the originally named inventors to the change of inventorship was provided by attached Statements of Frits J. Fallaux, Robert C.

Hoeben, Abraham Bout, Domenico Valerio, Alex J. van der Eb and Govert Schouten (provided herewith in Exhibit A).

3. The Assignee's agreement to the change in inventorship was provided by an attached Assent of Assignee. Proof of ownership in the Assignee was provided by copies of an executed assignment to IntroGene B.V. for application serial number 09/356,575 recorded January 4, 2000 at Reel/Frame 010213/0712, from which application the present patent issued and a filing regarding the change in name of the Assignee from IntroGene B.V. to Crucell Holland B.V. to establish ownership of U.S. Patent 6,265,212 B1 in Crucell Holland B.V. Copies of these documents are again provided herewith in Exhibit A.

A Communication was mailed by the Office on October 7, 2003, entering and accepting the petition to correct inventorship. A copy of this communication is provided as Exhibit B. No Certificate of Correction has been issued by the Office.

MPEP § 1481 sets forth that normally a Petition to Correct Inventorship under 37 C.F.R. § 1.324 should be directed to the Supervisory Patent Examiner whose unit handles the subject matter of the patent, who issues a decision on the petition. If granted, the patent file is then forwarded to the Certificate of Corrections branch for issuance of a Certificate of Correction. It appears that a formal decision on petition was never issued and the patent file was not forwarded to the Certificate of Corrections branch.

It is requested that the petition submitted July 8, 2003 be granted and the requested certificate of correction be entered. To the extent necessary, it is requested this petition be considered a renewed petition for correction of inventorship and be granted, pursuant to 35 U.S.C. § 256 and 37 C.F.R. § 1.324. All requirements for such a petition are provided herein, in connection with Exhibit A. As before, it is noted that errors made by Applicants appear in this patent and correction thereof does not involve such changes in the patent as would constitute new matter or would require reexamination. A certificate of correction in the form attached hereto as Exhibit C is thus requested.

Please send the Certificate to:

Name: Allen C. Turner
Address: TraskBritt
P.O. Box 2550
Salt Lake City, Utah 84110

The fee of \$130.00 as required by 37 C.F.R. § 1.20(b) has been authorized to be charged to TraskBritt Deposit Account No. 20-1469.

Attached hereto as Exhibit C is Form PTO/SB/44 in duplicate, with at least one copy being suitable for printing.

Respectfully submitted,



Bretton L. Crockett
Registration No. 44,632
Attorney for Applicants
TRASKBRITT
P.O. Box 2550
Salt Lake City, Utah 84110-2550
Telephone: 801-532-1922

Date: April 28, 2004
BLC

Document in ProLaw

Enclosures:

Exhibit A: Petition for Correction of Inventorship in Patent Pursuant to 35 U.S.C. 256 (37 C.F.R. § 1.324), with supporting documents:

Statements of Govert Schouten, Frits J. Fallaux, Robert C. Hoebe, Abraham Bout, Domenico Valerio and Alex J. van der Eb

Assent of Assignee, and

Copy of filing establishing Crucell Holland B.V. as the assignee of U.S. Patent 6,265,212 B1 and application serial number 09/356,575

Exhibit B: Communication mailed October 7, 2003

Exhibit C: PTO/SB/44 in duplicate

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: Fallaux et al.

Patent No.: 6,265,212 B1

Serial No.: 09/356,575

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR
HUMAN RECOMBINANT ADENOVIRUS
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

**PETITION FOR CORRECTION OF INVENTORSHIP IN PATENT
PURSUANT TO 35 U.S.C. 256 (37 C.F.R. § 1.324)**

Commissioner for Patents
Certificates of Corrections Branch
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Both the Inventors and the Assignee hereby petition to alter the inventorship of U.S.
Patent 6,265,212 B1.

It is noted that errors made by Applicants appear in this patent and correction thereof does not involve such changes in the patent as would constitute new matter or would require reexamination. A certificate of correction in the form attached hereto is requested.

Correction is requested to delete the name of Govert Schouten as an inventor because he is not an inventor of the invention herein.

The inventorship error occurred without deceptive intent (see attached Statement of Govert Schouten).

The originally named inventors agree to the change of inventorship (see attached Statements of Frits J. Fallaux, Robert C. Hoebe, Abraham Bout, Domenico Valerio, Alex J. van der Eb and Govert Schouten).

Patent No. 6,265,212 B1

The Assignee agrees to the change in inventorship (see attached Assent of Assignee). Included herein, as proof of ownership in the Assignee, is a copy of an executed assignment to IntroGene B.V. for application serial number 09/356,575 recorded January 4, 2000 at Reel/Frame 010213/0712. It is from this application that the present patent issued. Also included is a copy of a filing regarding the change in name of the Assignee from IntroGene B.V. to Crucell Holland B.V. Thus, the assignment of application serial number 09/356,575 to IntroGene B.V. establishes ownership of U.S. Patent 6,265,212 B1 in Crucell Holland B.V.

Please send the Certificate to:

Name: Allen C. Turner
Address: TraskBritt
P.O. Box 2550
Salt Lake City, Utah 84110

The fee of \$130.00 as required by 37 C.F.R. § 1.20(b) is enclosed.

Attached hereto in duplicate is Form PTO/SB/44 with at least one copy being suitable for printing.

Respectfully submitted,



Allen C. Turner
Registration No. 33,041
Attorney for Applicants
TRASKBRITT
P.O. Box 2550
Salt Lake City, Utah 84110-2550
Telephone: 801-532-1922

Date: July 8, 2003
ACT/jml

Enclosures: PTO/SB/44 in duplicate
Check No. 4638 in the amount of \$130.00
Statements of Govert Schouten, Frits J. Fallaux, Robert C. Hoebein, Abraham Bout,
Domenico Valerio and Alex J. van der Eb
Assent of Assignee
Copy of filing establishing Crucell Holland B.V. as the assignee of U.S. Patent
6,265,212 B1 and application serial number 09/356,575

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO : 6,265,212 B1

Page 1 of 1

DATED : July 24, 2001

INVENTOR(S) : Frits J. Fallaux, Robert C. Hoebe, Abraham Bout,
Domenico Valerio, and Alex J. van der Eb

It is certified that errors appear in the above-identified patent and that said Letters
Patent are hereby corrected as shown below:

On the title page:

In item (75) Inventors, line 5:

delete "Govert Schouten, Leiden"

MAILING ADDRESS OF SENDER:

PATENT NO. 6,265,212 B1

Allen C. Turner
230 South 500 East, Suite 300
Salt Lake City, Utah 84102 USA

No. of additional copies

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO : 6,265,212 B1

Page 1 of 1

DATED : July 24, 2001

INVENTOR(S) : Frits J. Fallaux, Robert C. Hoeben, Abraham Bout,
Domenico Valerio, and Alex J. van der Eb

It is certified that errors appear in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

On the title page:

In item (75) Inventors, line 5:

delete "Govert Schouten, Leiden"

MAILING ADDRESS OF SENDER:

PATENT NO. 6,265,212 B1

Allen C. Turner
230 South 500 East, Suite 300
Salt Lake City, Utah 84102 USA

No. of additional copies

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:

Fallaux et al.

Patent No.: 6,265,212

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR
HUMAN RECOMBINANT ADENOVIRUS
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

STATEMENT OF GOVERT SCHOUTEN

Commissioner for Patents
Certificates of Corrections Branch
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

I, GOVERT SCHOUTEN, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Maria van Hongarijelaan 27, 2353 EM Leiderdorp, The Netherlands.
2. That I was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I agree to the change of inventorship.
5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so

made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 18th day of June, 2003.


GOVERT SCHOUTEN

Document in ProLaw

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:

Fallaux et al.

Patent No.: 6,265,212

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR
HUMAN RECOMBINANT ADENOVIRUS
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

STATEMENT OF FRITS J. FALLAUX

Commissioner for Patents
Certificates of Corrections Branch
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, FRITS J. FALLAUX, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Bernard Haitinkstraat 5, 2353 KK Leiderdorp, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 7th day of June, 2003.


FRITS J. FALLAUX

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:

Fallaux et al.

Patent No.: 6,265,212

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR
HUMAN RECOMBINANT ADENOVIRUS
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

STATEMENT OF ROBERT C. HOEBEN

Commissioner for Patents
Certificates of Corrections Branch
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

I, ROBERT C. HOEBEN, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Gerbrandylaan 43, 2314 EX Leiden, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 12 day of June, 2003.



ROBERT C. HOEBEN

Document in ProLaw

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:

Fallaux et al.

Patent No.: 6,265,212

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR
HUMAN RECOMBINANT ADENOVIRUS
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: US 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

STATEMENT OF ABRAHAM BOUT

Commissioner for Patents
Certificates of Corrections Branch
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, ABRAHAM BOUT, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Van Swaanswijkstraat 38, 2751 XL Moerkapelle, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 05 day of June, 2003.



ABRAHAM BOUT

Document in ProLaw

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:

Fallaux et al.

Patent No.: 6,265,212

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR
HUMAN RECOMBINANT ADENOVIRUS
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

STATEMENT OF DOMENICO VALERIO

Commissioner for Patents
Certificates of Corrections Branch
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, DOMENICO VALERIO, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Rhijngesteerstraatweg 11, 2342 AN Oegstgeest, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 17th day of June, 2003.


DOMENICO VALERIO

Document in ProLaw

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:

Fallaux et al.

Patent No.: 6,265,212

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR
HUMAN RECOMBINANT ADENOVIRUS
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

STATEMENT OF ALEX J. VAN DER EB

Commissioner for Patents
Certificates of Corrections Branch
P.O. Box 1450
Alexandria, VA 22313-1450

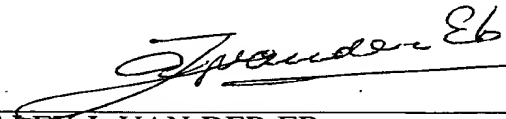
Sir:

I, ALEX J. VAN DER EB, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Prinses Beatrixlaan 53, 2341 TW Oegstgeest, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 12 day of June, 2003.



ALEX J. VAN DER EB

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:

Fallaux et al.

Patent No.: 6,265,212

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR
HUMAN RECOMBINANT ADENOVIRUS
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: US 348041838 US

Date of Deposit with USPS: July 8, 2003

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ASSENT OF ASSIGNEE TO DELETION OF INVENTOR

Commissioner for Patents
Certificates of Corrections Branch
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


The undersigned assignee of the entire interest in application Patent No. 6,265,212 hereby assents to the correction of inventorship filed herewith.

Pursuant to 37 C.F.R. ' 3.73, the undersigned representative of the Assignee has reviewed the evidentiary documents, specifically the Assignment to Introgene, B.V. recorded on January 4, 2000, Reel/Frame: 010521/0712, number of pages: 3, and the Change of Name from Introgene B.V. to Crucell Holland B.V., currently at the Assignment Division of the United States Patent and Trademark Office waiting to be recorded, and certifies that to the best of his knowledge and belief, title remains in the name of Crucell Holland B.V.

The undersigned further avers that he is empowered to make and sign the foregoing certification on behalf of the Assignee, and to take the action set forth herein on behalf of the Assignee, pursuant to a resolution of its Board of Directors.

CRUCELL HOLLAND B.V.

Dated: 17 June 2003

By: 

Document in ProLaw

463

TRASK BRITT, P.C. PATENT ATTORNEYS / CLIENT ADVANCE ACCOUNT

DATE	INVOICE #	REFERENCE	AMOUNT
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07/07/2003	Petition Fee	2578-3935US	130.00
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130.00

WARNING THIS DOCUMENT HAS FLUORESCENT FIBERS, A VOID PANTOGRAPH AND A MICROPRINT SIGNATURE LINE

TRASK BRITT, P.C.
PATENT ATTORNEYS / CLIENT ADVANCE ACCOUNT
230 SOUTH 500 EAST SUITE 300
P.O. BOX 2550
SALT LAKE CITY, UT 84102
PHONE 801-532-1922

KEY BANK OF UTAH
SALT LAKE CITY, UT
123173 / 1240

4638

DATE 07/07/2003

PAY EXACTLY **One Hundred Thirty AND 00/100 DOLLARS**

\$ 130.00

TO THE ORDER OF **Commissioner for Patents
Washington, D.C. 20231**


AUTHORIZED SIGNATURE

⑈004638⑈ ⑆124000737⑆445010030416⑈

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Introgene B.V.

2. Name and address of receiving party(ies)

Name: Crucell Holland B.V.

Address: Archimedesweg 4

2333 CN Leiden

Additional name of conveying party(ies) attached? ☐ Yes ☒ NoAdditional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☒ Change of Name☐ Other _____

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

Typed or printed name: Jayna M. Lofgren

Signature: 

Date: February 24, 2003

Execution Date: February 24, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

6,265,212 B1

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: TraskBritt

Internal Address: _____

Street Address: P.O. Box 2550

City: Salt Lake City State: UT Zip: 84110

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

20-1469

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

Attorney Docket No.: 2578-3935US

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Allen C. Turner

Name of Person Signing
Reg. No. 33,041

Signature: 

February 24, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 10

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:

Fallaux et al.

Patent No.: 6,265,212 B1

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR
HUMAN RECOMBINANT ADENOVIRUS
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

February 24, 2003
Date

Signature

Jayna M. Lofgran
Name (Type/Print)

CHANGE OF NAME OF ASSIGNEE

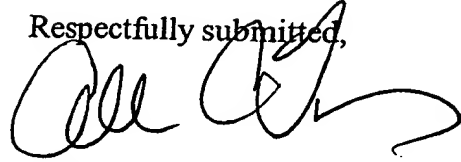
Box ASSIGNMENTS

Commissioner of Patents & Trademarks
Washington, D.C. 20231

1. Particulars of Assignment. An assignment has been recorded in the Patent and Trademark Office for this invention on January 4, 2000, Reel/Frame 010521/0712, Number of Pages: 3. A copy of the recorded Assignment is attached.
2. Old Name of Assignee. The old name of the assignee shown on the above assignment is Introgene B.V.
3. New Name of Assignee. The new name of the assignee is **Crucell Holland B.V.**
4. Proof of Change of Name. Proof of change of name is established by the attached Statement About Articles of Association indicating a name change from the following authority: Robert Jan Jozef Lijdsman, civil law notary in Amsterdam.

4. Payment of Fee. Please refer to the "Recordation Form Cover Sheet," to which this paper is attached.

Respectfully submitted,



Allen C. Turner
Registration No. 33,041
Attorney for Patentees
TRASKBRITT
P.O. Box 2550
Salt Lake City, Utah 84110-2550
Telephone: 801-532-1922

Date: February 24, 2003
ACT/jml

Enclosures: Copy of Notice of Recordation of Assignment Document (5 pages)
Copy of Statement About Articles of Association (2 pages)

Document in ProLaw

02-07-2000

CORDATION FORM

PATENTS

RECEIVED



101259640

To the Honorable Commissioner of Patents and Trademarks: Please return the attached original documents or copy thereof. Unless filed with a new application, mail to: BOX 440, GPO, Washington, D.C. 20231.

1. Name of conveying party(ies): **OPR/FINANCE**

Frits J. Fallaux
Robert C. Hoeben
Abraham Bout
Domenico Valerio
Alex J. van der Eb
Govert Schouten

2. Name and address of receiving party(ies):

Name: **INTROGENE B.V.**
Address: **Wassenaarseweg 72**
Leiden, The Netherlands 2333

Additional name(s) & address(es) attached? ☐ Yes ☒ No

CERTIFICATE OF MAILING

I hereby certify that this paper or fee along with any attachments referred to or ide being attached or enclosed is being deposited with the United States Postal Service Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with su: postage and in an envelope addressed to the Commissioner of Patents and Tradema Washington, D.C. 20231.

December 29, 1999
Date of Deposit

Lynette Eliason
Signature of registered practitioner or other person hav
reasonable basis to expect mailing to occur on date of
deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Lynette Eliason
Typed/printed name of person whose signature is contain
above

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 5, 6, 7, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. U.S. Patent Application No.(s)
09/356,575

B. U.S. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: **Allen C. Turner**
Trask, Britt & Rossa
P.O. Box 2550
Salt Lake City, UT 84110-2550

Attorney Docket No. 3935US

6. Total number of U.S. applications and U.S. patents involved:

7. Total fee (37 C.F.R. § 3.41) \$ **4**
(\$40.00 times number in box 6)
Check no. **1282** is enclosed in this amount.

8. The Commissioner is hereby authorized to charge any deficiency or credit any overpayment to deposit account number 20-1469.

02/07/2000 TTON11 00000062 09356575

DO NOT USE THIS SPACE

01 FC:581

40.00 DP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct.

Allen C. Turner

Name of Person Signing

Reg. No. 33,041

Allen C. Turner
Signature

12/29/99
Date

Total number of pages including cover sheet, attachments and document:

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, each undersigned ASSIGNOR does hereby:

SELL, ASSIGN AND TRANSFER to INTROGENE B.V. ("ASSIGNEE"), a corporation of The Netherlands having a place of business at Wassenaarseweg 72, 2333 AL Leiden, The Netherlands, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the Application for United States Letters Patent Serial No. 09/356,575 filed on July 19, 1999, and entitled **PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS TO BE USED IN GENE THERAPY**, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent or the legal equivalent thereof which have been or may be filed in the United States and all foreign countries relating to any of such improvements; all original, reexamined and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application made in the United States;

AUTHORIZE the ASSIGNEE to apply for and receive any and all United States and foreign patents relating to such improvements in its own name;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to and in the name of the ASSIGNEE;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement or encumbrance affecting the rights and property herein conveyed has been or will be made or entered into by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the ASSIGNEE, to carry out in good faith the intent and purpose of this assignment, to execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications relating to any and all such improvements; to execute all rightful oaths, declarations, assignments, powers of attorney and other papers; to communicate to the ASSIGNEE all facts and provide to the ASSIGNEE all documents and things known and accessible to the undersigned relating to such improvements and the history thereof, and testify as to the same in any interference, litigation or other proceeding relating thereto; and generally to do everything possible which the ASSIGNEE shall consider desirable for vesting title to such improvements in the

ASSIGNEE, and to secure, maintain, defend and enforce valid and enforceable patent protection for such improvements;

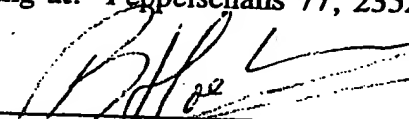
AGREE and ACKNOWLEDGE that the SALE, ASSIGNMENT AND TRANSFER of rights and property set forth herein is and shall be IRREVOCABLE and BINDING upon the heirs, assigns, representatives and successors of each undersigned ASSIGNOR and EXTEND to the successors, assigns and nominees of the ASSIGNEE.

ASSIGNORS:


Frits J. Fallaux


Date 06/10/99

Residing at: Peppelschans 77, 2352 Be Leiderdorp, The Netherlands


Robert C. Hoeben

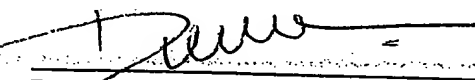
Date 06/10/99

Residing at: Gerbrandylaan 43, 2314 Ex Leiden, The Netherlands


Abraham Bont

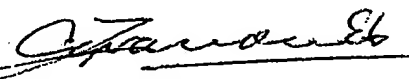
Date 07/10/99

Residing at: Coymansstraat 24, 2751 AR Moerkapelle, The Netherlands


Domenico Valerio

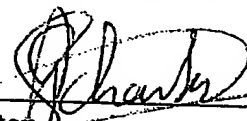
Date 07/10/99

Residing at: Gerbrandylaan 12, 2314 EZ Leiden, The Netherlands


Alex J. van der Eb

Date 7/10/99

Residing at: Prinsen Beatrixlaan 52, 2341 TW Oegstgeest, The Netherlands


Govert Schouten

Date 07/10/99

Residing at: Da Costastraat 82, 2321 AR Leiden, The Netherlands

ALLEN & OVERY

RJL/IntroGene/Crucell Holland BV/statement
47024-00019/AMCO-97059:1

STATEMENT ABOUT ARTICLES OF ASSOCIATION

Robert Jan Jozef Lijdsman, civil law notary in Amsterdam,

hereby declares:

the attached document is a fair English translation of the Articles of Association of:

Crucell Holland B.V.
(formerly named: IntroGene B.V.)
having its official seat in Leiden.

as they read after execution of the deed of amendment on 25 October 2000 before me, civil law notary aforementioned, ministerial Statement of No Objections number B.V. 451662.

Crucell Holland B.V. is a private limited liability company under Dutch law (*'besloten vennootschap met beperkte aansprakelijkheid'*), having its office address at Archimedesweg 4, 2333 CN Leiden and registered in the Commercial Register under number 28074607.

In preparing the attached document, an attempt has been made to translate as literally as possible without jeopardizing the overall continuity of the text. Inevitably, however, differences may occur in translation, and if they do, the Dutch text will by law govern.

In the attached document, Dutch legal concepts are expressed in English terms and not in their original Dutch terms; the concepts concerned may not be identical to concepts described by the English terms as such terms may be understood under the laws of other jurisdictions.

Amsterdam, 12 July 2002.



ALLEN & OVERY

AMENDMENT OF THE ARTICLES OF ASSOCIATION OF:
[ntroGene B.V.
(new name: Crucell Holland B.V.)
having its official seat in Leiden
Deed of 25 October 2002

CONTENTS:

- certified copy of the deed of amendment of the Articles of Association of IntroGene B.V. (new name: Crucell Holland B.V.), executed on 25 October 2002, before Robert Jan Jozef Lijdsman, civil law notary in Amsterdam;...
- English office translation of the Articles of Association after this amendment.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

09/356,575

07/19/1999

FRITS J. FALLAUX

3935US

8010

7590

10/07/2003

ALLEN C TURNER
TRASK BRITT & ROSSA
P O BOX 2550
SALT LAKE CITY, UT 84110

RECEIVED

OCT 09 2003

Trask Britt

EXAMINER

WILSON, MICHAEL C

ART UNIT

PAPER NUMBER

1633

DATE MAILED: 10/07/2003

22

Please find below and/or attached an Office communication concerning this application or proceeding.

FR



UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
---------------------------------	-------------	---	---------------------

EXAMINER

ART UNIT	PAPER
----------	-------

22

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

The statements by Schouten, Fallaux, Hoebe, Bout, Valerio and van der Eb filed 7-8-03 have been entered. The petition to delete an inventor pursuant to 35 USC 256 and the consent of Assignee to delete an inventor, both filed 7-8-03, have been entered and accepted.

Inquiry concerning this communication or earlier communications from the examiner should be directed to Michael C. Wilson who can normally be reached on Monday through Friday from 9:00 am to 5:30 pm at (703) 305-0120.

Questions of formal matters can be directed to the patent analyst, Dianiece Jacobs, who can normally be reached on Monday through Friday from 9:00 am to 5:30 pm at (703) 305-3388.

Questions of a general nature relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-1235.

If attempts to reach the examiner, patent analyst or Group receptionist are unsuccessful, the examiner's supervisor, Deborah Reynolds, can be reached on (703) 305-4051.

The official fax number for this Group is (703) 308-4242.

Michael C. Wilson



MICHAEL WILSON
PRIMARY EXAMINER

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO : 6,265,212 B1
DATED : July 24, 2001
INVENTOR(S) : Frits J. Fallaux, Robert C. Hoebe, Abraham Bout,
Domenico Valerio, and Alex J. van der Eb

Page 1 of 1

It is certified that errors appear in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

On the title page:

In item (75) Inventors, line 5: delete "Govert Schouten, Leiden"

MAILING ADDRESS OF SENDER:

PATENT NO. 6,265,212 B1

Allen C. Turner
230 South 500 East, Suite 300
Salt Lake City, Utah 84102 USA

No. of additional copies

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO : 6,265,212 B1
DATED : July 24, 2001
INVENTOR(S) : Frits J. Fallaux, Robert C. Hoebe, Abraham Bout,
Domenico Valerio, and Alex J. van der Eb

Page 1 of 1

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delete "Govert Schouten, Leiden"

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PATENT NO. 6,265,212 B1

Allen C. Turner
230 South 500 East, Suite 300
Salt Lake City, Utah 84102 USA

No. of additional copies

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.